

DOCKET NO.: MSFT-2568/307781.01
Application No.: 10/750,297
Office Action Dated: October 14, 2008

PATENT

function is a longjmp.

REMARKS

This is a full and timely response to the final Office Action mailed October 14, 2008. Reconsideration and allowance of the application and presently pending claims are respectfully requested.

Telephone Conversation With Examiner

Examiner Schmidt is thanked for the telephone conversation conducted on December 17, 2008. Proposed claim amendments were discussed. Cited art was discussed. Although it appears that the proposed claim amendments overcome the rejections based on the cited art, no agreements were reached.

Present Status of Patent Application

Claims 1, 2, 6, 10, 11, 14, 15, 19, 20, 23, 28, 37-41, and 43-51 are now pending in the present application. Claims 1, 10, 15, 19, 23, 28, 37-41, and 43-49 are currently amended without introduction of new matter; claims 2 and 20 are original claims; claims 6 and 11 are previously presented; claims 3-5, 7-9, 12-14, 16-18, 21-22, 24-27, 29-36, and 42 are cancelled without prejudice, waiver, or disclaimer; and claims 50-51 are new claims that are submitted without introduction of new subject matter. Reconsideration and allowance of the application and presently pending claims are respectfully requested.

Claim Objections

Statement of the Objection

Claims 5-6 are objected to because of the following informalities: The examiner notes claims 5 and 6 are duplicate claims that depend off of claim 1. Appropriate correction is required.

Response to the Objection

In response to the objection, Applicants have currently canceled claim 5 and respectfully request withdrawal of the objection to pending claim 6.

Claim Rejections under 35 U.S.C. §112

Statement of the Rejection

Claims 38-39, 40, 42-43, 44, 46-48, and 49 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 38-39, 40, 42-43, 44, 46-48, and 49

The examiner notes claims 38-39, 40, 42-43, 44, 46-48, and 49 are rejected under 35 U.S.C. 112, second paragraph for being indefinite. Claims 38-39, 40, 42-43, 44, 46-48, and 49 all depend off of a cancelled claim(s) (e.g. 38-39 depend on canceled claim 3, etc). Therefore for the purpose of examination the examiner will interpret these claims to depend off of their respective independent claims (1, 10, and 19).

Response to the Rejection

Applicants have opted to cancel claim 42 and to appropriately amend claims 38-40, 43, 44, 46-48, and 49 in order to address the shortcoming identified in the Office action. Consequently, Applicants respectfully request withdrawal of the rejection under 35 U.S.C. 112, followed by allowance of pending claims 38-40, 43, 44, 46-48, and 49.

Claim Rejections under 35 U.S.C. §103

Statement of the Rejection

Claims 1, 5-6, 10, 14-15, 19, 28, 31, 33-34, and 36-49 are rejected under 35 U.S.C. 103(a), as best understood, as being unpatentable over Lueh (US 6,658,657 B1) in view of Richarte, Gerardo. "Four different tricks to bypass Stackshield and StackGuard protection"

Response to the Rejection

Applicants recognize that the claim numbers identified in the statement above contain a typographical error in that they do not match the claims referred to in the text of the rejection

(e.g. claims 2, 11, 20, 23 etc.). Also, it appears that the list of rejected claims in the Summary sheet of the Office action may contain some errors (claims 4, 37-49 etc) as well. Applicants have provided above a list of currently pending claims for purposes of clarification.

Claim 1

Applicants have opted to currently amend rejected independent claim 1 in order to move forward prosecution in the case by elaborating on the term “identifier” as incorporated in the claim. Attention is respectfully drawn to page 5 of the current Office action wherein it is alleged that the cited reference of Richarte discloses “*executable code which is marked with a canary (e.g. identifier) for runtime protection.*” Applicants acknowledge that Richarte does indeed disclose runtime protection using a canary in the form of a constant “0x000aff0d” that is pushed into his stack. Protection against buffer overflow attacks is provided by checking to see if the value of the constant (the canary) has been changed as a result of an attack. Richarte describes this aspect in his pages 5-6 (under StackGuard protection) as follows: “*A standard stack based buffer overflow attack would change the return address, and on its way will overwrite the canary, so, unless we write the right value in the canary the check in the epilog will fail and abort the program.*”

In contrast, Applicants’ claim 1 is directed at using an identifier as a flag to indicate that runtime protection has been provided in a portion of executable code. In other words, unlike Richarte whose canary itself is used to check for attacks, the identifier of Applicants’ claim 1 is not used directly to check for attacks. Instead, Applicants’ identifier is used to indicate the presence of runtime protection (in the form of a valid target address located in an object code).

It may also be pertinent to point out that in addition to disclosing the use of a canary (StackGuard protection), Richarte further discloses an alternative approach (in place of using a canary) wherein a process of return address verification is used. This is described in his section 2.3 titled “StackShield protection”.

In this connection, Richarte discloses certain differences between StackShield and StackGuard. Specifically in his page 6, section 2.3, Richarte teaches: “*An implementation difference is that StackShield takes as input assembler files (.s) and produces as output*

assembler files, StackGuard is implemented as a modification to gcc, and as a result, takes as input C source files, and produces binary objects.” Consequently, it can be understood that the canary approach (looking for changes in the canary) and the return address approach (looking for changes in a return address) are two parallel and distinct approaches that are selected depending on the nature of the application.

In light of the remarks above, Applicants respectfully submit that Richarte does not reasonably teach or suggest the identifier cited in Applicants’ claim 1. Nonetheless, in the interest of moving forward prosecution in the case, Applicants have currently amended the claim, which now recites in pertinent part: *“an identifier indicating that the executable code comprises an object file containing a list of valid target addresses for use in implementing runtime protection.”* This aspect has been described in Applicants’ original specification for example in paragraph [0036]. One of ordinary skill in the art can recognize that the functionality of Applicants’ identifier is distinctly different from that of Richarte’s canary.

In summary, Applicants respectfully submit that cited references of Lueh and Richarte, individually and/or combinedly, fail to teach or suggest various aspects of Applicants claim 1 thereby making the claim allowable at least in currently amended form. Consequently, Applicants request withdrawal of the rejection followed by allowance of the claim.

Claims 2, 6, 37-40

Applicants respectfully submit that claims 2, 6, and 37-40 are allowable for at least the reason that these claims are dependent on allowable claim 1. Consequently, Applicants respectfully request withdrawal of the rejection followed by allowance of these claims.

Claims 5, 31, 33, 34, 36, and 42

Applicants have currently canceled claims 5, 31, 33, 34, 36 and 42 and respectfully submit that the rejection of these claims has been rendered moot as a result of the cancellation.

Claim 10

Applicants have opted to currently amend rejected independent claim 10 in order to move forward prosecution in the case. As amended, the claim now includes: *“deriving a security cookie by XORing a secret value with each of the values retrieved from a jmp_buf buffer, the*

retrieved values precluding a first security cookie that has been stored previously in the jmp_buf buffer.” This aspect, which has been described in Applicants’ original specification for example in paragraphs [0046] and [0047], is neither taught nor suggested in the cited references, individually or combinedly. It may be pertinent to point out that Richarte does disclose the use of an XOR random canary in his page 27 (section 4 titled “Notes on random canary”) and elsewhere, wherein the random canary is described as follows: “*The idea of a random canary is to generate a different canary every time it’s used, reducing the chances of guessing it.*” However, it is clear that Richarte does not use a security cookie that is derived by XORing a secret value with jmp_buf values as cited in Applicants’ amended claim 10.

In summary, Applicants respectfully submit that the cited references of Lueh and Richarte, individually and/or combinedly, fail to teach or suggest various aspects of Applicants claim 10 thereby making the claim allowable at least in currently amended form. Consequently, Applicants request withdrawal of the rejection followed by allowance of the claim.

Claims 11, 14, 15, 41, 43 and 44

Applicants respectfully submit that claims 11, 14, 15, 41, 43, and 44 are allowable for at least the reasons that these claims are dependent on allowable claim 10. Consequently, Applicants respectfully request withdrawal of the rejection followed by allowance of these claims.

Claim 19

Applicants have opted to currently amend rejected independent claim 19 in order to move forward prosecution in the case. As amended, the claim now includes: “*an identifier indicating that the executable comprises an object file containing a list of valid target addresses for use in implementing runtime protection.*” Certain remarks (pertaining to the identifier) made above in response to the rejection of claim 1 are equally pertinent to the rejection of claim 19 as well. However, in the interest of brevity these remarks will not be repeated herein. In short, Applicants respectfully submit that cited references of Lueh and Richarte, individually and/or combinedly, fail to teach or suggest various aspects of Applicants claim 19 thereby making the claim allowable at least in currently amended form. Consequently, Applicants request withdrawal of

the rejection followed by allowance of the claim.

Claims 20, 23, 28, and 45-49

Applicants respectfully submit that claims 20, 23, 28, and 45-49 are allowable for at least the reasons that these claims are dependent on independent claim 19 that is allowable. Consequently, Applicants respectfully request withdrawal of the rejection followed by allowance of these claims.

Remarks pertaining to new claims 50 and 51

Applicants respectfully submit that claims 50 and 51 are allowable over the cited references. Furthermore, these claims are also allowable due to their dependency on allowable claim 1. Consequently, Applicants respectfully request allowance of these claims.

Cited Art Made of Record

The cited art made of record has been considered, but is not believed to affect the patentability of the presently pending claims.

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CONCLUSION

Applicants respectfully submit that pending claims 1, 2, 6, 10, 11, 15, 19, 20, 23, 28, 37-41, and 43-51 are allowable. Favorable reconsideration and allowance of the present application and all pending claims are hereby courteously requested. If, in the opinion of the Examiner, a telephonic conference would expedite the examination of this matter, the Examiner is invited to call the undersigned representative.

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